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DOCKET NO.: 4917

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE MATTER OF THE APPLICATION FOR PATENT

OF: Yasuyuki MATSUI et al.

| ART UNIT: 2811

SERIAL NO.: 10/552,275

| CONF. NO.: 4014

FILED: October 3, 2005

| EXAMINER:

FOR: METHOD OF MANUFACTURING SINGLE-CRYSTAL BLOCKS FOR SLICING
OFF SEMICONDUCTOR WAFERS THEREFROM

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

November 10, 2006

INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATE OF MAILING

Dear Sir:

- 1) Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 applicants enclose a Second Form PTO-1449, copies of references AG and AH cited thereon, an English Abstract of reference AG, and a copy of a European Search Report issued on September 14, 2006 in a counterpart European application.
- 2) This Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits, according to the available status information. Thus, in accordance with 37 C.F.R. 1.97(b)(3), no fee is due.
- 3) Moreover, this Information Disclosure Statement is being filed before the mailing date of a final Office Action or Notice of Allowance and includes a statement under 37 CFR 1.97(e) (see next paragraph). Thus, in accordance with 37 CFR 1.97(c)(1), no fee is due.

- 4) The undersigned attorney hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- 5) Reference AH is in English. Reference AG is accompanied by an English Abstract. Therefore no further discussion of the references is necessary.
- 6) Moreover, the enclosed Search Report indicates the degree of relevance of references AG and AH by category ("A" means technological background). Thereby a concise explanation of the relevance has been provided (see MPEP §609).
- 7) The Examiner is requested to consider all references of record, return an initialed copy of the enclosed Form PTO-1449 and ensure that all references of record are printed on any patent issuing from this application.

Respectfully submitted,
Yasuyuki MATSUI et al. - Applicant

WFF:ks/4917
Enclosures:
postcard,
Second Form PTO-1449,
2 references,
1 English Abstract,
copy of Search Report

By Walter F. Fasse
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CERTIFICATE OF MAILING:

I hereby certify that this correspondence with all indicated enclosures is being deposited with the U. S. Postal Service with sufficient postage as first-class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Karin Smith - November 10, 2006
Name: Karin Smith - Date: November 10, 2006

NOV 13 2006

Sheet 1 of 1
SECOND IDS LIST
REFERENCES CITED BY
APPLICANT
(FORM PTO-1449)
DATED: November 10, 2006

Atty. Docket No.:
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Serial No.:
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Inventor(s): Yasuyuki MATSUI et al.

U.S. Filing Date:
October 3, 2005

Art Unit:
2811

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	Cl.	Sub- Cl.	Fil. Date

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	Cl.	Sub- Cl.	English
	AG	0 279 949	08/1988	Europe	-	-	Abstract
	AH	0 985 505	03/2000	Europe	-	-	yes

OTHER DOCUMENTS

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EXAMINER'S SIGNATURE	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.